

GRAPH NEURAL NET APPLICATIONS FOR NATURAL LANGUAGE PROCESSING

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INTRODUCTION

Graphs are widely used in natural language processing (NLP) research from the era of expert systems, such as WordNet (Fellbaum 1998), a graph-based representations of words' meanings through their relations with other words (Quillian 1968). Graph-based representation of a text item (document, passage or sentence) is usually called text graph. There is an annual workshop, TextGraphs,¹ intended to encourage the synergy between the fields of natural language processing (NLP) and graph theory.

Depending on the NLP application, the nodes and edges of text graph may represent a variety of language-related units and links. Nodes can represent text units of various sizes and characteristics, e.g., words, collocations, word senses, entities, sentences or even documents. Edges can encode relationships like co-occurrence (two words appearing together in a text unit), collocation (two words appearing next to each other or separated by a function word), syntactic structure (e.g., the parent and child in a syntactic dependency), semantic relations (e.g., anaphora), lexical similarity (e.g., cosine between the vector representations of two sentences), or links to a knowledge base.

Recently, graph neural networks (GNNs) have raised the great interests of researchers in NLP field, since GNNs effectively combine graph-based algorithms with deep learning architectures.

STRUCTURE OF TEXTGRAPH

The graph structure is the basic architecture of GNNs. Therefore, when applying GNNs to NLP tasks, we need to answer the following questions: (1) what should be modeled as nodes, (2) what should be modeled as edges. We can categorize different text graphs into the following levels:

1. **Statistical Level:** The nodes may be any text unit, such as word, sentences or documents. The edges are usually constructed by statistic methods, such as co-occurrence, point-wise mutual information (PMI). This kind of text graphs usually is heterogeneous graph, such as Text GCN (Yao et al., 2019b), HeterGraph (Wang et al., 2020). The traditional word-document co-occurrence matrix is a naturally graph.
2. **Syntactic Level:** The nodes are usually words and the edges are syntactic relations between words. Since syntactic structure of text can represent the intrinsic relations among words, the graph-based methods can better integrate the interaction than sequence-based methods. This kind of text graphs includes Tree-LSTM (Tai et al., 2015b), BiLSTM+GCN (Marcheggiani & Titov, 2017), etc.
3. **Semantic Level:** This kind of text graphs usually represent the semantic relations between text units, such as words, entities, or documents. For example, the nodes in citation network are academic papers and the edges are citation relations. Some others graphs include word similarity graph where the edges are weighted by some similarity functions, coreference graph which links the different mentions of the same entity, AMR, and so on.
4. **Knowledge Level:** Since external knowledge is usually helpful for language understanding, it is natural to encode text with help of external knowledge. The external knowledge has

¹<http://www.textgraphs.org/>

graph-based form, called knowledge graph (KG). To link the text and knowledge, we need recognize the entity mentions in text via the NER tool and associate them with the entities in KG.

Besides, there is also a special graph to encode text, fully-connected graph, which does not depend on any assumption and usually expects to find some latent graph via dynamic attention mechanism.

The above four categories of text graphs have their own advantages and weaknesses in practice. For example, the semantic and knowledge level graphs are largely reliant on external resources, so they perform well in scenarios with abundant, accurate external knowledge, but correspondingly are constrained in other cases. Another rising research question is whether it is better to use graph edges defined by hand-crafted features or learn the edges from data. Previously, most work constructs edges in a hand-crafted way, using linguistic features such as syntax trees, word co-occurrence, and PMI. A counter way is to learn from data, – for example, Transformer (Vaswani et al., 2017) is a successful instance of GNNs where each token is a node, and weights of edges are learned through attention. Recent advances have shown that Transformer, after large-scale pretraining Devlin et al. (2019), are powerful models, so it remains a research question whether data-driven learning or pre-defined graphs is better.

Graph Generation Besides as encoding representation, how generating the last three kinds of text graphs is also interesting. For example, current SOTA parser is a graph-based method, which predicts the relations among all the words and then generates the dependency tree using MST.

INFORMATION AGGREGATION OF TEXTGRAPH

Another key element of GNNs is the aggregator, namely how information is aggregated in the graph. There are several main methods to aggregate information: mean pooling as proposed in GCN (Kipf & Welling, 2017), weighted sum by multi-head attention as in GAT (Velickovic et al., 2018), and type-specific message passing as in Relational-GCN (Schlichtkrull et al., 2018). Sum or mean pooling is widely used in early applications of GNNs to NLP, and problem settings where the relations among nodes are simple. The majority of graph-based NLP models use attention to aggregate node informations, as the learnable attention weights can adapt to various scenarios. Edge-specific message passing is also useful in many applications such as graphs with heterogeneous nodes and edge types. More complicated aggregators as in hypergraph neural networks (Feng et al., 2019), and sparse graph attention networks (Ye & Ji, 2019) are less frequent in NLP literature. We list the main GNN-based models, and their corresponding model details in Table 1.

Model	Node	Edge	Aggregator	Task
Text Encoding				
Transformer (Vaswani et al., 2017)	Word	Full connection	Multi-head attention	Any text
LeviGraphGRU (Beck et al., 2018)	Word, AMR/syntax elements	Levi graph	GRU-like gating	NMT, AMR-to-text
GAMT (Chen et al., 2020)	Word	Full connection	Attention	Utterance encoding
GP-GNN (Zhu et al., 2019)	Entity	Full connection	Sum	Relation extraction
AGGCN (Gao et al., 2019)	Word	Full connection	Attention, dense net	Relation extraction
Eo-GNN (Christopoulou et al., 2019)	Mention, entity, sentence	Co-occurrence, appearance, full connection for sentences	Weighted sum	Relation extraction
HGAT (Linmei et al., 2019)	Topic, document, entity	Heterogeneous graph, document-topic, entity-document	Attention	Semi-supervised text classification
Text GCN (Yao et al., 2019b)	Word, document	Word-document, co-occurrence in corpus	Same as GCN	Text classification
CogQA (Ding et al., 2019)	Entity	Dynamically built edges	Localized spectral filter	Multi-hop QA
HSG (Wang et al., 2020)	Word, sentence	Word-sentence	Attention	Summarization
Synthetic GCN				
BiLSTM+GCN (Marcheggiani & Titov, 2017)	Word	Syntax tree	Same as GCN	Semantic role labeling
BiRNN+GCN (Bastings et al., 2017)	Word	Syntax, semantic relations	Weighted sum	NMT
C-GCN (Zhang et al., 2018b)	Word	Syntax tree	Mean Pooling	Relation extraction
RESIDE (Vashishth et al., 2018b)	Word, entity	Syntax tree	Weighted sum	Relation extraction
BiLSTM+GCN (Sahu et al., 2019)	Word	Syntax tree, coreference, adjacent sentence, adjacent word	Same as GCN	Relation extraction
GraphRel (Fu et al., 2019)	Word	Dependency tree	Sum	Joint NER and RE
BiLSTM+GCN (Sun et al., 2019)	Entity	Entity-relation bipartite graph	Same as GCN	Joint NER and RE
MUL-GCN (Le et al., 2020)	Word	Dependency tree	Attention	Metaphor detection
JMEE (Liu et al., 2018b)	Word	Syntax tree	Weighted sum	Event detection
BiLSTM+GCN+AAP (Nguyen & Grishman, 2018b)	word	Syntax tree	Weighted sum	Event detection
Tree-LSTM (Tai et al., 2015b)	Word	Constituency parse tree	Sum and LSTM cell	Sentiment analysis
NeuralDater (Vashishth et al., 2018a)	Word	Syntax tree	Mean Pooling	Document timestamping
AD3 (Ray et al., 2018)	Word	Syntax tree	Attention	Document timestamping
SynGCN, SemGCN (Vashishth et al., 2019)	Word	Syntax tree	Weighted sum	Word embedding
Encoding Tabular Structures				
GraphIE (Qian et al., 2019)	Cell	Position (neighboring cells)	Attention	Encode tables
CFGNN (Zhang, 2020)	Cell	Data info flow	Attention	Encode tables (Text-to-SQL)
ER-GCN (Li et al., 2020)	Token, attribute	record-attribute, attribute-inclusive token, token co-occurrence	Attention	Entity resolution
GAMT (Chen et al., 2020)	Slot, domain	Slot-its domain, multiple tokens in a slot	Attention	DST
RGAT (Chen et al., 2020)	Slot	same-domain slots, slots with overlapping candidate values	Attention	DST
Knowledge Graphs				
CRAFF-NET (Sun et al., 2018)	KB entity, document	KB relation, entity mention	Sum	Open Domain QA
GraphWriter (Koncel-Kedziorski et al., 2019)	Entity	KG relation	Attention	Graph-to-Text
MuGNN (Cao et al., 2019)	Entity	KG relation	Attention	Entity Alignment
LAN (Wang et al., 2019)	Entity	KG relation	Attention	KG Embedding
Other				
RRN (Palm et al., 2018)	Entity, number	Action, Relative Position	Sum and RNN cell	Symbolic Reasoning (bAbI, Sudoku)

Table 1: Main GNN-based NLP models, with their corresponding node type, edge definition, aggregator, and task.

CATEGORIZATION BY TASKS

GNNs are widely used in recent natural language processing (NLP) research. They are first introduced to classification tasks (Hamilton et al., 2017; Defferrard et al., 2016; Kipf & Welling, 2017), then extended to other natural language understanding (NLU) tasks such as sequence labeling (Zhang et al., 2018a) and semantic role labeling (Marcheggiani & Titov, 2017), and finally to text generation such as neural machine translation (Bastings et al., 2017; Marcheggiani et al., 2018) and text generation from Abstract Meaning Representation (AMR) (Song et al., 2018b; Beck et al., 2018). We list NLP tasks with their corresponding GNN-based models as follows:

- Text classification: GCN (Hamilton et al., 2017; Atwood & Towsley, 2016; Defferrard et al., 2016; Kipf & Welling, 2017; Monti et al., 2017; Henaff et al., 2015), GAT (Velickovic et al., 2018), GraphCNN (Peng et al., 2018), TextGCN (Yao et al., 2019a), Graph-State LSTM (Zhang et al., 2018a), Tree LSTM (Tai et al., 2015a)
- Sequence labeling (POS, NER): Graph-State LSTM (Zhang et al., 2018a)
- Semantic role labeling: Syntactic GCN (Marcheggiani & Titov, 2017)
- Neural machine translation: Syntactic GCN (Bastings et al., 2017; Marcheggiani et al., 2018), GatedGNN (Beck et al., 2018)
- Relation extraction: Tree LSTM (Miwa & Bansal, 2016), Graph LSTM (Peng et al., 2017; Song et al., 2018c), Syntactic GCN (Zhang et al., 2018b)
- Event extraction: Syntactic GCN (Nguyen & Grishman, 2018a; Liu et al., 2018a)
- AMR to text generation: Graph-to-LSTM (Song et al., 2018b), GatedGNN (Beck et al., 2018)
- Multihop reading comprehension: Graph-to-LSTM (Song et al., 2018a)
- Relational reasoning: Relational Networks (RN) (Santoro et al., 2017), Recurrent RN (Santoro et al., 2018), Interaction Networks (Battaglia et al., 2016)

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